

## Establishing platforms of knowledge for agri-environmental assessment and programming

A case study from Rhineland-Palatinate, Germany

Karlheinz Knickel

Institute for Rural Development Research (IfLS)  
at J W Goethe University Frankfurt (Main)

### 1. conceptual framework & case study

- (1) assessing the AE performance of farms against both generic, European-wide and locally-specific objectives: common / generic structure & regionally adaptive
- (2) multidimensional, multi-criteria based using indicators; farm-level
- (3) intuitive, carefully structured, systematic ... allows coping with complexity
- (4) transparent, facilitating consultation
- (5) manageable resource demand

### outline

- (1) conceptual framework & case study
- (2) platforms of knowledge
- (3) results / experiences
- (4) importance of using a participatory approach

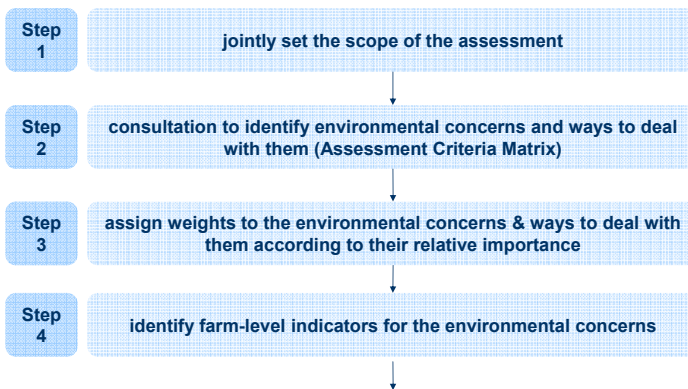
### need to reconcile different landscape-related interests

- ♦ monitoring & assessment can help
  - to reduce conflicts between different land uses
  - to strengthen the *multifunctional* role of landscapes
- ♦ .... are vital in a continuous learning process
- ♦ evaluation is less control than contributing to a comprehensive process of attendance & valuation

## case study: Rhineland-Palatinate

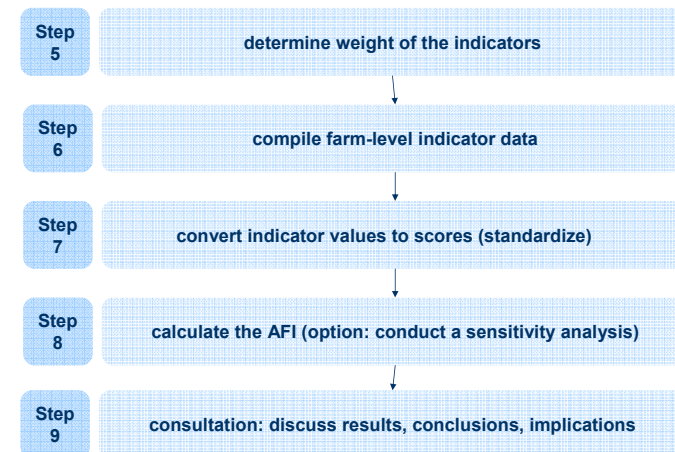
- ◆ 15 case studies (UK, IRL, HUN, DK, GR, FIN, DE)
  - used in wide range of geographic locations
  - different environmental issues
- ◆ DE: AEP in Rhineland-Palatinate
- ◆ 3 AEM:
  - organic farming support
  - extensification of grassland use
  - field strips in arable farming

## integrating platforms of knowledge & stepwise implementation

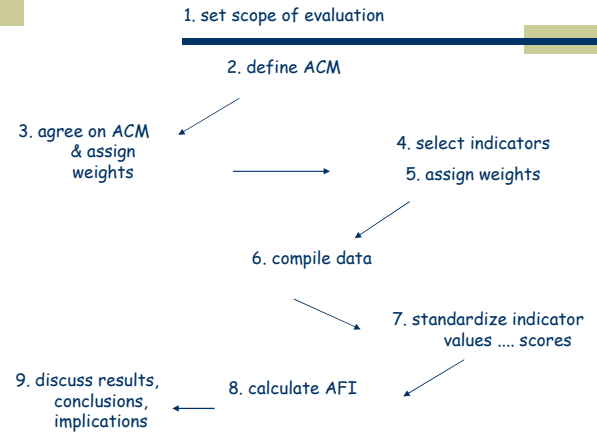


## 2. platforms of knowledge

- ◆ key features
  - bringing together different forms of knowledge
    - formalized / codified knowledge
    - practical knowledge & experience
    - local & external knowledge
  - carefully structured, consensus-oriented, transparent, consultation
  - platforms of knowledge allow to cope more effectively with complexity



local actors      evaluators      technical panel



## step 1: set the scope of the assessment (framing)

- ◆ context & objectives / type of assessment
  - e.g. AES objective-oriented or wider environmental evaluation
- ◆ time frame
  - snap-shot, annual, start & end of policy implementation
- ◆ spatial scale
- ◆ sampling strategy
- ◆ comparisons
  - comparison to a baseline
  - participating vs. non-participating farms

## step 2: identify environmental concerns & ways to deal with them

- ◆ environmental concerns
  - Natural Resources (NR)
  - Biodiversity (B)
  - Landscape (L)
- ◆ ways to deal with them
  - Crop & Animal Husbandry (CAH)
  - Physical Farm Infrastructure (PFI)
  - Natural & Cultural Heritage (NCH)

## Assessment Criteria Matrix (ACM)

management options	AE Issues			
	NR	B	L	Other
CAH				
PFI				
NCH				

## other concerns

- ♦ energy and food supply: energy crops, sustainability of local food supply
- ♦ environmental risk and control: flooding, fire, avalanches
- ♦ climate change: greenhouse gases, land use, irrigation

	NR	B	L
CAH	<ul style="list-style-type: none"> <li>♦ Soil quality protected</li> <li>♦ Soil physical resource protected</li> <li>♦ Groundwater quality protected</li> <li>♦ Air quality protected</li> </ul>	<ul style="list-style-type: none"> <li>♦ Habitats provided for wildlife associated with arable land</li> <li>♦ Maintenance of extensive grassland systems</li> </ul>	<ul style="list-style-type: none"> <li>♦ Landscape character protected</li> <li>♦ Maintenance of livestock systems</li> </ul>
PFI	<ul style="list-style-type: none"> <li>♦ Groundwater quality protected</li> </ul>	<ul style="list-style-type: none"> <li>♦ Field boundary habitats protected</li> <li>♦ Effects of large field size mitigated</li> </ul>	<ul style="list-style-type: none"> <li>♦ Hedgerows protected</li> <li>♦ Traditional buildings conserved</li> </ul>
NCH	<ul style="list-style-type: none"> <li>♦ Watercourses protected</li> </ul>	<ul style="list-style-type: none"> <li>♦ Woodland habitats protected</li> </ul>	<ul style="list-style-type: none"> <li>♦ Historic &amp; archaeological features protected</li> </ul>

A single cell from this matrix is termed an AFI "Dimension"

## steps 3 & 5: consultation aimed at assigning weights

Natural Resources	Biodiversity	Landscape
0.25	0.25	0.50

Σ1

	Natural Resources	Biodiversity	Landscape
Crop & Animal Husbandry	0.7	0.33	0.4
Physical Farm Infrastructure	0.2	0.33	0.4
Natural & Cultural Heritage	0.1	0.33	0.2
Totals	Σ1	Σ1	Σ1

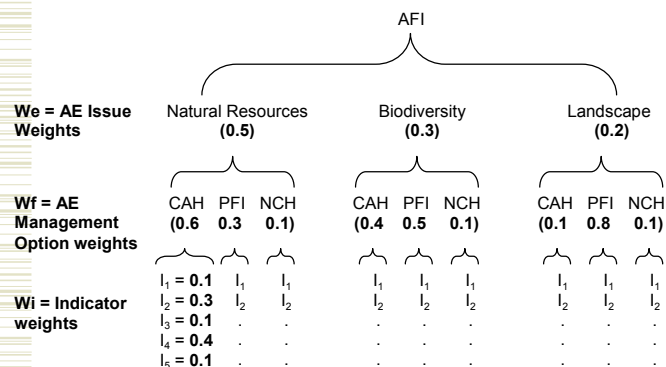
## step 7: convert indicator values to scores (standardize)

- ♦ linear relationship; non-linear relationship; categorical; binary
- ♦ examples:

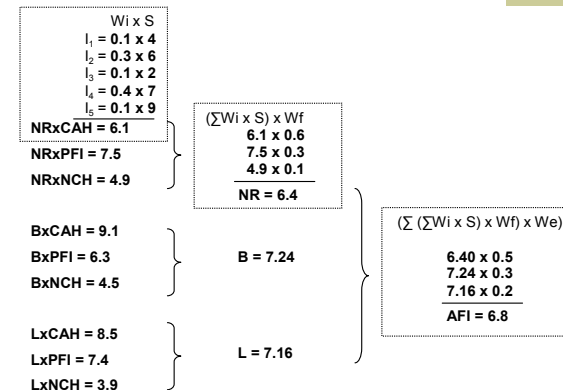
LU/ha	>1.8	<=1.8	<=1.4	<=1	<=0.6	<=0.2
Score	0	2	4	6	8	10

2. Frequency of cutting	Score
Annual	2
Left unmanaged	5
Mixture of annual and 2-3 years	6
Every 2-3 years	7
Mixture of 2-3 years and some unmanaged	10

## overview weighting



## calculation of index



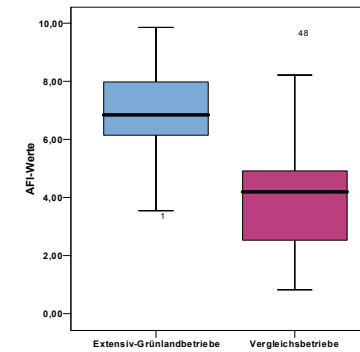
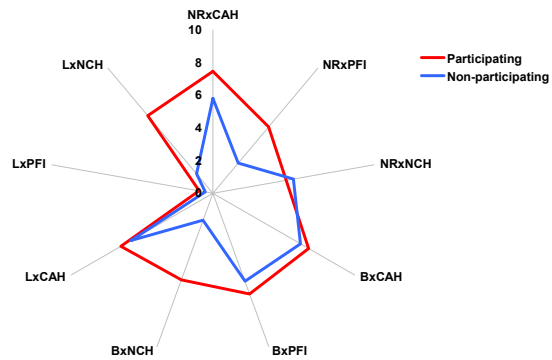
## 3. results / experiences

- ◆ approach successfully tested in all 15 case studies (UK, IRL, HUN, DK, GR, FIN, DE)
- ◆ main AFI steps applied in each case with different degrees of participation & different types of actors involved
- ◆ some adjustments of approaches used within each step

...

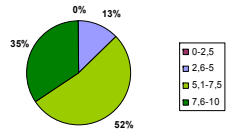
- ◆ AFI has been successfully adapted in each case study
  - broad steps are generic
  - context specific adaptations within each step can be agreed upon

## presentation of results

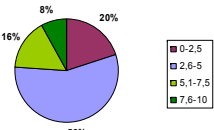


	MIN	MAX	mean	no. of farms
extensification of grassland	3,37	9,85	6,86	23
non-participants	0,84	9,67	4,21	25

Extensiv-Grünlandbetriebe: Verteilung auf AFI-Bereiche



Vergleichsbetriebe: Verteilung auf AFI-Bereiche



AFI	extensive grassland	non-participants
0-2,5	0	5
2,6-5,0	3	14
5,1-7,5	12	4
7,6-10	8	2

## 4. importance of using a participatory approach

- ♦ engagement of farmers (organisations) in the decision making process is critically important
  - it forges stronger links between farmer's perception of AE issues & their awareness of their role as managers of the rural landscape
- ♦ approach reinforces the use of monitoring, assessment and evaluation for future decisions
  - it can be customised to locally relevant AE targets, public goods issues & circumstances
  - methodological framework is responsive to changing local needs

## principles related to stakeholder engagement



personality & passion ..... bureaucratic procedures / guidelines

## Thank you !

◆ More info on our work & cooperation in applied research:

- Contact: Karlheinz Knickel
- Website: [www.ifls.de](http://www.ifls.de)
- Tel.: 0049 (0) 69 775001
- E-Mail: [knickel@em.uni-frankfurt.de](mailto:knickel@em.uni-frankfurt.de)

